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#### **Quality Management.....QM**

See Clinical Operations Group (COG) for former QM and Clinical Services Group policies.

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|---|---------------|
| <b>Regulatory Compliance Support.....</b>   | <b>REGS</b>   |
| Physician Certification and Recertification for Post-Acute Services<br>(formerly GOS.APS.001) (1/1/25).....   | REGS.APS.001  |
| Outpatient Rehabilitation Therapy Services (formerly GOS.APS.002)<br>(1/1/25) .....   | REGS.APS.002  |
| Billing – Outpatient Self-Administered Drugs (formerly GOS.BILL.003)<br>(6/1/22) .....  | REGS.BILL.003 |
| Billing – Stat, Call Back, Stand-by and Handling Charges<br>(formerly GOS.BILL.006) (2/1/25) .....  | REGS.BILL.006 |
| Billing for Investigational Devices in Clinical Trials (formerly Billing for<br>Investigational Devices, GOS.BILL.007) (8/7/24) .....   | REGS.BILL.007 |
| Coding Documentation for Inpatient Services (formerly HIM.COD.001)<br>(7/1/24) .....  | REGS.COD.001  |
| Coding Documentation for Outpatient Services (formerly HIM.COD.002)<br>(2/1/20) .....   | REGS.COD.002  |
| Coding References and Tools (formerly HIM.COD.003) (10/1/15) .....  | REGS.COD.003  |
| Coding Help Line (formerly HIM.COD.004) (5/1/18) .....  | REGS.COD.004  |
| Coding Orientation and Training (formerly HIM.COD.005) (7/1/24) .....   | REGS.COD.005  |
| Coding Continuing Education Requirements (formerly HIM.COD.006)<br>(10/1/15) .....  | REGS.COD.006  |
| HIM/HSC: Reimbursement of Professional Exam Fee to Obtain Credentials<br>(formerly HIM: Reimbursement of Professional Exam Fee to Obtain<br>Credentials, HIM.COD.007) (12/1/13) ..... | REGS.COD.007  |
| Coding/Documentation Improvement: Additional Compensation Plans<br>(Retired 9/9/15; Reinstated 5/1/19) .....  | REGS.COD.008  |
| Prohibition of Contingency-Based Coding Arrangements<br>(formerly HIM.COD.009) (1/1/16) .....   | REGS.COD.009  |
| Coding Documentation for Rehabilitation Facilities/Units<br>(formerly HIM.COD.013) (2/1/20) .....   | REGS.COD.013  |
| External Coding Vendors for Coding Services, Reviews and Related<br>Education (10/1/15).....  | REGS.COD.017  |
| Inpatient and Outpatient Coding Compliance Monitoring and<br>Auditing (9/1/19).....   | REGS.COD.018  |
| Clinical Documentation Improvement (CDI) – Implementation<br>Requirements (2/1/20) .....  | REGS.DOC.001  |
| Query Documentation for Clinical Documentation Improvement (CDI)<br>& Coding – Compliance Requirements (7/1/24) .....   | REGS.DOC.002  |
| CDI Orientation and Training (5/1/19) .....   | REGS.DOC.003  |
| CDI Continuing Education Requirements 2/1/19).....  | REGS.DOC.004  |
| Advance Beneficiary Notice of Non-coverage – Outpatient Services<br>(formerly GOS.GEN.003) (7/1/23).....  | REGS.GEN.003  |
| Billing – Orders for Hospital Outpatient Tests and Services<br>(formerly GOS.GEN.005) (2/1/25).....   | REGS.GEN.004  |
| Billing & Billing Related Continuing Education Requirements<br>(formerly GOS.GEN.007) (2/1/25).....   | REGS.GEN.007  |
| Hospital Evaluation and Management Services<br>(formerly GOS.GEN.008) (7/1/25).....   | REGS.GEN.008  |
| Outpatient Services and Medicare Three-Day Window (2/1/24).....   | REGS.GEN.009  |
| Medicare – Hospital Issued Notice of Non-Coverage (7/1/24)  | REGS.GEN.010  |



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|---|--------------|
| Medicare – National and Local Coverage Determinations (7/1/24).....   | REGS.GEN.011 |
| Refunds for Medically Unnecessary Services for Federal Payers (5/1/19) .....  | REGS.GEN.012 |
| Responding to Governmental Requests for Claims Reviews or<br>Surveys (7/1/24).....  | REGS.GEN.013 |
| Medicare Order Form for Patient Status (2/1/24).....  | REGS.GEN.014 |
| Correction of Errors Related to Federal and State Healthcare Program<br>FFS Reimbursement (formerly EC.012) (1/1/25) .....                              | REGS.GEN.015 |
| Advance Beneficiary Notice of Noncoverage – Home Health and Hospice<br>Services (6/1/23).....   | REGS.HHA.001 |
| Responding to Governmental Requests for Home Health or Hospice<br>Agencies Claims Reviews or Surveys (2/1/24).....                                      | REGS.HHA.002 |
| Correction of Errors Related to Federal and State Healthcare Program<br>FFS for Home Health and Hospice Agencies Reimbursement<br>(7/1/21 RETIRED)..... | REGS.HHA.003 |
| Medicare – National and Local Coverage Determinations for Home Health<br>and Hospice Services (2/1/24) .....  | REGS.HHA.004 |
| Billing – Outpatient Specimen Collection (formerly GOS.LAB.006) (2/1/24) .....  | REGS.LAB.006 |
| Billing – Custom Profiles (formerly GOS.LAB.007) (2/1/24).....  | REGS.LAB.007 |
| Billing – Referred Laboratory Testing (formerly GOS.LAB.009) (2/1/24) .....   | REGS.LAB.009 |
| Laboratory – Reflex Tests (formerly GOS.LAB.010) (2/1/24).....  | REGS.LAB.010 |
| Laboratory – Client Billing Practices (formerly GOS.LAB.023) (2/1/25) .....   | REGS.LAB.023 |
| Maintenance of Company Standard Laboratory Chargemaster<br>(formerly GOS.LAB.025) (10/1/21).....  | REGS.LAB.025 |
| Billing – Hematology Procedures, Urinalysis Procedures, and<br>Organ or Disease – Oriented Panels (2/1/24).....   | REGS.LAB.026 |
| Coding Documentation for Non-Hospital Entities<br>(formerly GOS.OSG.001 and HIM.PHY.001) (9/1/23) .....   | REGS.OSG.001 |
| Advance Beneficiary Notice of Non-coverage for Physician<br>Professional Services and Non-Hospital Entities (9/1/23).....                               | REGS.OSG.002 |
| Coding References and Tools for Non-Hospital Entities<br>(formerly GOS.OSG.003 and HIM.PHY.003) (12/1/20).....  | REGS.OSG.003 |
| Coding and Billing Helpline for Non-Hospital Entities (formerly<br>GOS.OSG.004 and HIM.PHY.004) (5/1/18) .....  | REGS.OSG.004 |
| Coding Orientation and Training for Non-Hospital Entities<br>(formerly GOS.OSG.005 and HIM.PHY.005) (12/1/20).....                                      | REGS.OSG.005 |
| Coding Continuing Education Requirements for Non-Hospital<br>Entities (formerly GOS.OSG.006 and HIM.PHY.006) (1/1/18).....                              | REGS.OSG.006 |
| Medicare – National and Local Coverage Determinations for Physician<br>Professional Services and Non-Hospital Entities (12/1/21).....                   | REGS.OSG.007 |
| Coding: Additional Compensation Plans for Coding Services Submitted on<br>1500 Claim Forms (formerly GOS.OSG.008 and HIM.PHY.008) (2/1/17).....         | REGS.OSG.008 |
| Prohibition of Contingency-Based Coding Arrangements for Non-Hospital<br>Entities (formerly GOS.OSG.009 and HIM.PHY.009) (1/1/16).....                  | REGS.OSG.009 |
| Physician Supervision Requirements for Diagnostic Tests Performed in<br>Freestanding Entities (formerly GOS.OSG.010) (12/1/21).....                     | REGS.OSG.010 |
| Certified External Vendors for Coding Reviews and Related Education for<br>Non-Hospital Entities (formerly GOS.OSG.011 and HIM.PHY.011) (9/1/23)..      | REGS.OSG.011 |
| Responding to Governmental Requests for Non-Hospital Entities<br>Claims Reviews or Surveys (7/1/24) .....   | REGS.OSG.012 |

Billing Guidelines for Orders for Outpatient Tests and Services for  
Non-Hospital Entities (12/1/21).....REGS.OSG.BILL.001

Provider Coding/Billing Continuing Education Requirements  
or Professional Services (7/1/24) .....REGS.PROF.006

**Reimbursement.....RB**

Reimbursement Manual (10/1/20).....RB.001

Standardized Workpaper Package with Instructions (10/1/20) .....RB.002

Review of Cost Report (10/1/20) .....RB.003

Identification of Non-Allowable Costs (10/1/20).....RB.004

Adequate Documentation (10/1/20).....RB.005

Protested Items (10/1/20).....RB.006

Submission of the Medicare Cost Report (10/1/20) .....RB.007

Disclosure Procedure (10/1/20).....RB.008

Reporting of Cost Report Overpayments (formerly Error in Reporting) (10/1/20).....RB.009

Fiscal Intermediary/Medicare Administrative Contractor Audits (10/1/20).....RB.010

Subsequent Monitoring-Department Self Review/Peer Review (10/1/20).....RB.011

Arrangements with External Consultants (10/1/20) .....RB.013

Education and Training (10/1/20) .....RB.014

Requirements for Providers/Suppliers to Establish and Maintain the Medicare  
Enrollment Application (CMS 855) (4/1/20) .....RB.016

**Risk and Insurance ..... RI**

See Legal for EMTALA Policies (LL.EM).

**Safety and Security..... SS**

See Information Protection and Security (IP.PS).

**Treasury.....TRE**

Medical Staff Funds (11/1/12) .....TRE.001

Non-Facility Owned Funds (11/1/12) .....TRE.002

Vendor Profile Management (6/1/22) .....TRE.003

**Summary .....as of September 5, 2025**

**Superseded Policies**

**Americans with Disabilities Act ..... ADA**

ADA Accessible Facilities (10/17/16).....ADA.001

ADA Model Policies:

Accommodating Persons Who are Blind or Have Low Vision Model Policy  
(12/31/16 & 5/1/18)

Accommodating Persons Who are Deaf or Hard-of-Hearing Model Policy  
(12/31/16 & 5/1/18)

Accommodating Persons with Limited English Proficiency (LEP) Model Policy  
(12/31/16 & 5/1/18)

Accommodating Persons with Service Animals Model Policy (12/31/16)

Removal of Physical Barriers ADA Construction Program (5/1/18, 1/1/23).....ADA.002

External Web Accessibility (5/1/18) .....ADA.003

### **Clinical Operations Group (Superseded)**

|  |             |
|--|-------------|
| Core Measure Clarification Process Documentation and Education .....   | COG.COM.001 |
| Correction of Non-editable Core Measures Data Elements in COMET .....  | COG.COM.002 |
| Purging of COMET Core Measure Records .....  | COG.COM.003 |
| National Healthcare Safety Network (NHSN) Reporting (5/1/19) .....   | COG.COM.004 |
| Controlled Substance Monitoring (formerly QM.003, CSG.MM.001) (10/1/20) ....   | COG.MM.001  |
| DEA and State Controlled Substance Diversion and Loss Reporting (10/1/20) ....   | COG.MM.006  |
| Sharing, Credentialing, Privileging, and PPE Information among<br>HCA Healthcare Entities (6/1/19) .....                               | COG.PPA.001 |
| Licensure and Certification (formerly QM.002, CSG.QS.002, & CSG.PPA.002)<br>(3/1/20, 9/1/22) .....                                     | COG.PPA.002 |
| Vetting Dependent Healthcare Professionals and Other Non-Employees<br>(5/1/19, 12/1/21, 6/1/23, 2/1/24) .....                          | COG.PPA.003 |
| Designation as Authors and Contributors in Scholarly Work (9/1/22) .....   | COG.PUB.001 |
| Regulatory Compliance Notification (7/1/20) .....  | COG.RAS.001 |
| COVID-19 Vaccination Requirements for Employees and Staff of HCA CMS<br>Mandate Facilities (11/22/21, 1/25/23; Retired 8/4/2023) ..... | COG.RAS.005 |

### **Clinical Services Group (Superseded)**

|  |             |
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| Excluding Patients from the Patient Survey Process (see PE.CA.001) (4/15/13) ..  | CSG.CA.001  |
| Screening Tests for Asymptomatic Individuals (6/1/12 & 5/1/19) .....   | CSG.CMO.002 |
| Core Measure Clarification Process Documentation and Abstraction<br>Education (formerly QM.COM.001) (11/1/09, 4/1/10, 3/1/14, 10/1/15<br>& 1/1/17) ..... | CSG.COM.001 |
| Correction of Non-editable Core Measure data Elements (formerly<br>QM.COM.002) (11/1/09, 4/1/10, 8/1/14 & 1/1/17) .....                                  | CSG.COM.002 |
| Purging of COMET Core Measure Records (formerly<br>QM.COM.003) (11/1/09, 4/1/10, 8/1/14 & 1/1/17) .....  | CSG.COM.003 |
| National Healthcare Safety Network (NHSN) Reporting (1/1/12,<br>5/15/12, 12/1/14 & 5/1/15) .....   | CSG.COM.004 |
| Institutional Animal Care and Use Committees (3/1/12) .....  | CSG.IAC.001 |
| IRB Related Definitions and Common Acronyms (3/1/12 & 9/1/13) .....  | CSG.IRB.001 |
| IRB Membership and Training (3/1/12, 8/1/13 & 11/1/16) .....   | CSG.IRB.002 |
| IRB Registration with, and Mandated Reports to, DHHS (3/1/12 & 9/1/13) .....   | CSG.IRB.003 |
| IRB Records (Rosters, Minutes and Protocol Information) Consent and<br>Retention (3/1/12 & 9/1/13) .....   | CSG.IRB.004 |
| IRB Development of Local Standard Operating Procedures (3/1/12) .....  | CSG.IRB.005 |
| IRB Criteria to Approve or Exempt Human Subject Research<br>(3/1/12 & 9/1/13) .....  | CSG.IRB.006 |
| IRB Initial and Continuing Review of Non-Exempt Research with<br>Human Subjects (3/1/12 & 9/1/13) .....  | CSG.IRB.007 |
| IRB Review of Research Informed Consent and Its Documentation<br>(3/1/12, 3/2/12 & 9/1/13) .....   | CSG.IRB.008 |
| IRB Required Additional Protections for Vulnerable Subjects/Children<br>(3/1/12 & 9/1/13) .....  | CSG.IRB.009 |
| IRB Handling Conflicts of Interest in Research (3/1/12 & 9/1/13) .....   | CSG.IRB.010 |

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| IRB Review and Reporting of Unanticipated Problems Involving Risks to<br>Subjects or Others (3/1/12 & 9/1/13) .....                        | CSG.IRB.011 |
| Controlled Substance Monitoring (formerly QM.003) (5/31/10, 5/1/11, 11/1/11,<br>9/1/15, & 5/1/18) .....                                    | CSG.MM.001  |
| Substance Use in the Workplace (now HR.ER.060) (2/1/11, 4/1/11, 5/1/11,<br>9/1/12, 9/1/13, 5/1/14, 12/1/14, 8/1/15, 11/1/16 & 2/1/17)..... | CSG.MM.002  |
| Pharmacy-Related Dependent Healthcare Professionals (9/1/13).....  | CSG.MM.004  |
| DEA and State Controlled Substance Diversion and Loss Reporting (1/1/18) .....   | CSG.MM.006  |
| Sharing Credentialing, Privileging, and PPE Information among HCA<br>Healthcare Entities (6/1/19).....                                     | CSG.PPA.001 |
| Licensure and Certification (formerly CSG.QS.002) (8/1/19).....  | CSG.PPA.002 |
| Regulatory Compliance Notification (Now CSG.RAS.001, formerly QM.001)<br>(6/1/09, 5/1/15 & 8/1/15).....                                    | CSG.QS.001  |
| Licensure and Certification (Now CSG.PPA.002, formerly QM.002)<br>(2/1/11, 8/6/12, 1/1/13, 1/2/13, 5/1/13, 12/1/14, 11/1/16 & 1/1/19)..... | CSG.QS.002  |
| Vetting Dependent Healthcare Professionals and Other<br>Non-Employees (Now CSG.PPA.003) (4/1/15 & 5/1/17) .....                            | CSG.QS.003  |
| Patient Rights (Now CSG.RAS.004) (10/1/14) .....   | CSG.QS.004  |
| Research – Guiding Documents and Definitions (3/1/12 & 9/1/13).....  | CSG.RSH.001 |
| Research Integration into Healthcare Treatment/Payment/Operations<br>(3/1/12 & 9/1/13) .....   | CSG.RSH.002 |
| Human Subject Protection Program (3/1/12).....   | CSG.RSH.003 |
| Use of and Relationship with Institutional Review Boards (3/1/12 & 9/1/13) .....   | CSG.RSH.004 |
| Research Activities Not Needing IRB Oversight or Certification of IRB<br>Review (3/1/12 & 9/1/13).....                                     | CSG.RSH.005 |
| Handling Research Informed Consent Documents (Non-IRB Requirements)<br>(3/1/12, 3/2/2012 & 9/1/13).....                                    | CSG.RSH.006 |
| Special Cases Concerning Investigational Products and Humanitarian<br>Use Devices (3/1/12 & 9/1/13).....                                   | CSG.RSH.007 |
| Access to Records for Research or Research Monitoring Purposes<br>(Non-IRB Requirements) (3/1/12).....                                     | CSG.RSH.008 |
| Role of Institutional Official and Human Protections Administrator (3/1/12) .....  | CSG.RSH.009 |
| Research Activity Records and Reports (3/1/12).....  | CSG.RSH.010 |

### **Communications (Superseded)**

|  |        |
|--|--------|
| Marketing and Advertising Practices Relating to Affiliated Physicians<br>(9/15/98, 9/1/00 & 6/1/02)..... | CO.001 |
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### **Design and Construction (Superseded)**

|   |         |
|---|---------|
| ADA Accessible Facilities (3/1/11, 5/1/11, 3/1/13, 9/1/13 & 3/1/15) .....                     | ADA.001 |
| Environmental - General (1/12/99 & 11/1/05) .....   | DC.001  |
| Environmental - Polychlorinated Biphenyls (PCBs) Handling (1/12/99 & 11/1/05).....            | DC.002  |
| Environmental - Indoor Air Quality (1/12/99, 7/21/99, 11/1/01 & 11/1/05).....                 | DC.003  |
| Environmental - Air Pollutant Emission (1/12/99, 7/21/99 & 11/1/05).....                      | DC.004  |
| Environmental - Asbestos Containing Material (ACM) Management (1/12/99 &<br>11/1/05).....     | DC.005  |
| Environmental - Environmental Due Diligence for Property Transfer (1/12/99 &<br>11/1/05)..... | DC.006  |

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| Environmental - Emergency Response (1/12/99 & 11/1/05).....  | DC.007 |
| Environmental - Biomedical Waste Management (1/12/99 & 11/1/05).....   | DC.008 |
| Environmental - Low-Level Radioactive Waste Management (1/12/99 & 11/1/05).....  | DC.009 |
| Environmental - Hazardous Waste Management (1/12/99, 7/21/99 & 11/1/05) .....  | DC.010 |
| Environmental - Fuel Storage Tank Management (1/12/99 & 11/1/05) .....   | DC.011 |
| Environmental - Waste Oil Management (1/12/99 & 11/1/05).....  | DC.012 |
| Environmental - Wastewater Discharge (1/12/99 & 11/1/05) .....   | DC.013 |
| Environmental - Potable (Drinking) Water Supply (1/12/99, 7/21/99, 1/1/01 & 11/1/05) ..  | DC.014 |
| Environmental - Infection Control Risk Assessment (11/1/05) .....  | DC.015 |
| Environmental - Universal Waste Management (11/1/05) .....   | DC.016 |
| Environmental - Lead Management (11/1/05) .....  | DC.017 |
| Environmental - Self-audit of Facilities (11/1/05) .....   | DC.018 |
| Plant Operations – Procuring Design and Construction Services for Hospital<br>Managed Projects (Updated to CD.CE.020) (11/1/00, 4/15/03, 10/15/03, 2/1/12,<br>7/1/15 & 9/1/17) ..... | DC.020 |

### **Environmental (Superseded)**

|   |         |
|---|---------|
| Environmental - General Environmental Policy (formerly DC.001) (5/1/07 & 3/1/11) ....                 | ENV.001 |
| Environmental - Polychlorinated Biphenyls (PCBs) Handling (formerly DC.002)<br>(5/1/07) .....         | ENV.002 |
| Environmental - Indoor Air Quality (formerly DC.003) (5/1/07) .....                                   | ENV.003 |
| Environmental - Air Pollution Emission and Control (formerly DC.004) (5/1/07) .....                   | ENV.004 |
| Environmental - Asbestos Containing Material (ACM) Management<br>(formerly DC.005) (5/1/07) .....     | ENV.005 |
| Environmental - Environmental Due Diligence for Property Transfer<br>(formerly DC.006) (5/1/07) ..... | ENV.006 |
| Environmental - Emergency Response (formerly DC.007) (5/1/07) .....                                   | ENV.007 |
| Environmental - Biomedical Waste Management (formerly DC.008) (5/1/07) .....                          | ENV.008 |
| Environmental - Low-Level Radioactive Waste Management (formerly DC.009)<br>(5/1/07) .....            | ENV.009 |
| Environmental - Hazardous Waste Management (formerly DC.010) (5/1/07) .....                           | ENV.010 |
| Environmental - Fuel Storage Tank Management (formerly DC.011) (5/1/07) .....                         | ENV.011 |
| Environmental - Waste Oil Management (formerly DC.012) (5/1/07) .....                                 | ENV.012 |
| Environmental - Wastewater Discharge (formerly DC.013) (5/1/07) .....                                 | ENV.013 |
| Environmental - Potable (Drinking) Water Supply (formerly DC.014) (5/1/07) .....                      | ENV.014 |
| Environmental - Infection Control Risk Assessment (formerly DC.015) (5/1/07) .....                    | ENV.015 |
| Environmental - Universal Waste Management (formerly DC.016) (5/1/07) .....                           | ENV.016 |
| Environmental - Lead Management (formerly DC.017) (5/1/07) .....                                      | ENV.017 |
| Environmental - Self-audit of Facilities (formerly DC.018) (5/1/07) .....                             | ENV.018 |

### **Ethics and Compliance (Superseded)**

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|---|--------|
| Policy and Procedure Development (1/21/98, 9/29/98, 3/1/00, 1/1/06, 4/1/06,<br>8/1/10, 5/15/12, 3/1/15, 9/1/18 & 9/1/19) .....  | EC.001 |
| Internal Handling of Ethics Line Calls (1/21/98, 7/8/98, 11/9/99, 3/1/14 & 10/1/20) .....   | EC.002 |
| Self-Reporting of Violations of Certain Laws and Regulations (2/11/98).....   | EC.003 |
| Code of Conduct, Effective Date (2/11/98, 11/1/00, 4/15/03, 1/1/06,<br>1/1/09, 3/9/11, 3/1/12, 9/1/13, 1/1/18, 9/1/18, 1/1/19 & 1/20/20) .....  | EC.004 |
| Business Courtesies (2/11/98 & 11/1/00) .....   | EC.005 |
| Business Courtesies to Potential Referral Sources (1/4/02, 1/30/02, 6/30/02,<br>7/26/04, 9/7/04, 4/1/05, 8/31/05, 1/1/06, 2/1/06, 7/1/06, 11/15/06, 1/1/07, 12/4/07,<br>3/1/08, 1/1/09, 8/1/09, 1/1/11, 9/1/11, 2/1/12, 1/1/13, 9/1/13, 1/1/15, 1/1/17, |        |



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| 1/1/18 & 1/1/19, 1/15/20, 1/4/21, 1/10/22 & 1/25/23) .....   | EC.005 |
| Entertainment (4/1/98, 9/29/98, 8/15/00, 11/1/00, 1/4/02, 4/15/03, 1/1/06, 2/1/06,<br>4/1/08, 2/1/12, 11/1/12, 4/1/13 & 9/1/13) .....  | EC.006 |
| Business Associate-Sponsored Meetings, Training and Honoraria (formerly<br>Vendor–Promotional Training and Business Associate-Sponsored Seminars;<br>and Vendor Promotional Training) (4/1/98, 12/15/99, 9/30/02, 11/15/06 & 3/1/15) ..... | EC.007 |
| Approval of tokens of Appreciation in Recognition of Volunteer Efforts from<br>Non-Referral Sources (formerly Gifts in Recognition of Volunteer Efforts)<br>(8/31/05 & 2/1/06) .....   | EC.008 |
| Approval of Gifts in Recognition of Volunteer Efforts (4/1/98, 6/1/98, 1/4/02,<br>6/30/02, 1/30/04, 4/1/05 & 5/3/05) .....   | EC.008 |
| Ethics and Compliance Officer (1/1/01, 3/8/01, 4/15/03, 8/31/05, 12/1/07,<br>1/24/09, 3/15/11, 11/1/11, 5/15/12, 8/1/14, 9/15/19, 7/1/21 & 12/1/21) .....  | EC.010 |
| Code of Conduct Distribution and Training (1/1/01, 3/15/02, 5/1/02, 2/15/03,<br>4/15/03, 8/1/03, 1/30/04, 4/15/04, 8/31/05, 1/1/06, 2/1/06, 7/1/06, 1/1/09,<br>2/1/12, 7/1/12, 3/1/14 & 1/1/18) .....                                      | EC.011 |
| New Employee Code of Conduct Training (6/1/98, 11/1/00, 6/1/22 & 1/1/23) .....   | EC.011 |
| Correction of Errors Related to Government Reimbursement (6/1/98 & 1/1/02) .....   | EC.012 |
| Physician Access to the Internet (formerly EC.013) (7/8/98, 6/1/98, 1/12/99,<br>12/15/99, 6/30/02, 10/15/02, 9/30/03, 9/1/05 & 1/1/06) .....   | EC.013 |
| Records Management (6/3/98, 2/1/99, 8/1/00, 8/1/03, 9/22/04, 1/1/06,<br>7/1/09, 5/15/12 & 9/1/20) .....  | EC.014 |
| Limitations on Gifts to Fiscal Intermediary Employees (9/15/98, 12/18/00,<br>4/15/03, 1/1/06 & 2/28/06) .....  | EC.015 |
| Ethics and Compliance Program Contracts (5/14/99) .....  | EC.016 |
| Notification Regarding Certain Investigations or Legal Proceedings<br>(3/26/01, 1/1/06 & 9/1/07) .....   | EC.017 |
| ECO Quarterly Reports (4/1/01) .....   | EC.018 |
| CIA Training for Senior Management (8/15/01, 8/28/01, 2/15/03, 6/30/04,<br>5/3/05 & 1/1/06) .....  | EC.019 |
| Reportable Events (1/1/02 & 1/1/04) .....  | EC.020 |
| Conflict of Interest (12/1/04, 1/1/06, 3/1/07, 1/1/09, 9/1/12, 4/1/13, 5/1/17 & 2/1/20) .....  | EC.021 |
| Adoption and Surrogacy (3/1/07, 5/1/08, 9/1/12, 9/1/19 & 2/1/20) .....   | EC.022 |
| Gifts (7/15/08, 2/1/12, 4/15/13, 9/1/13, 12/1/13, 12/1/14, 9/1/18, 9/1/20 & 10/1/20) .....   | EC.023 |
| Potential Identity Theft Indicators (11/1/08) .....  | EC.024 |

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| Reporting Compliance Issues and Occurrences to the Corporate Office<br>(1/24/09, 9/23/09, 1/15/10, 5/15/10, 2/1/11, 5/1/11, 11/1/11, 1/1/12, 9/1/12,<br>4/1/13, 9/1/13, 9/23/13, 5/1/14, 9/1/17, 10/1/20, 3/1/22 & 10/1/22) .....                 | EC.025 |
| Appropriate Use of Communications Resources and Systems (formerly<br>Appropriate Use of Company Communications Resources and Systems)<br>(7/1/09, 9/15/10, 11/15/10, 4/1/11, 8/15/13, 6/1/14, 5/1/15, 11/1/16,<br>2/1/19, 9/1/19, & 1/1/22) ..... | EC.026 |
| Vendor Relations (formerly MM.002) (1/1/09, 9/1/12 & 9/1/20) .....  | EC.028 |

#### **Governmental Operations Support (formerly Business Office Support) (Superseded)**

|  |             |
|--|-------------|
| Physician Certification and Recertification for Post-Acute Services<br>(4/1/00, 8/1/00, 5/1/02, 9/1/02, 1/1/04, 1/31/04 & 11/1/05) ..... | GOS.APS.001 |
| Outpatient Rehabilitation Therapy Services Policy (3/6/03, 3/1/04,<br>6/30/04 & 11/1/05) .....   | GOS.APS.002 |

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| Outpatient Services and Medicare Three Day Window (4/3/98, 3/1/99,<br>5/14/99, 5/1/02, 5/15/03, 7/1/03, 4/15/04 & 6/30/04).....               | GOS.BILL.001 |
| Collection of Financial Information in the Emergency Department<br>(9/15/98, 1/12/99, 1/28/05 & 8/15/05).....                                 | GOS.BILL.002 |
| Outpatient Self-Administered Drugs (4/16/99, 4/1/03 & 5/31/04).....   | GOS.BILL.003 |
| Confirming and Processing Overpayments (4/16/99, 4/1/01, 1/1/02 & 5/1/02) ....  | GOS.BILL.005 |
| Stat Fees, Call Back and Stand-by Charges (formerly BOS.LAB.012)<br>(4/1/00 & 10/1/01) .....  | GOS.BILL.006 |
| Billing for Investigational Devices and Related Services (1/1/04 & 4/30/05) .....   | GOS.BILL.007 |
| Billing - Audit and Monitoring (1/16/98, 3/1/99 & 10/1/01).....   | GOS.GEN.001  |
| Medicare – Medical Necessity (formerly BOS.LAB.019) (4/1/00, 10/1/00,<br>3/1/01, 10/1/02 & 8/1/03).....                                       | GOS.GEN.002  |
| Advance Beneficiary Notice (formerly BOS.LAB.018) (4/6/98, 4/1/00,<br>3/1/01, 10/1/02, 1/7/03, 8/1/03, 4/15/04 & 6/15/05) .....               | GOS.GEN.003  |
| Orders for Outpatient Tests and Services (formerly BOS.LAB.017)<br>(4/1/00, 9/15/01, 10/1/01, 10/15/02, 8/1/03 & 4/15/04) .....               | GOS.GEN.004  |
| CIA Billing Continuing Education Requirements (1/1/01, 2/8/01,<br>5/1/02, 9/1/02, 5/15/03, 1/1/04, 4/15/04, 11/30/04 & 1/1/06).....           | GOS.GEN.007  |
| Hospital Evaluation and Management Services (1/1/2006) .....  | GOS.GEN.008  |
| Calculated Laboratory Tests (1/16/98) .....   | GOS.LAB.001  |
| Hematology Procedures (1/16/98, 3/1/99, 8/1/01 & 2/15/03).....  | GOS.LAB.002  |
| Urinalysis Procedures (1/16/98, 8/1/01 & 2/15/03).....  | GOS.LAB.003  |
| Organ and Disease Panels (1/16/98, 3/1/99, 4/1/00, 8/1/01, 1/1/02,<br>2/15/03 & 8/1/03).....  | GOS.LAB.004  |
| Handling Charges (1/16/98 & 3/1/99).....  | GOS.LAB.005  |
| Outpatient Specimen Collection (1/16/98, 3/1/99, 8/1/01, 9/30/03 & 1/1/05).....   | GOS.LAB.006  |
| Custom Profiles (4/6/98, 10/1/00 & 10/1/01).....  | GOS.LAB.007  |
| Referred Laboratory Testing (4/6/98, 2/15/03 & 9/30/03) .....   | GOS.LAB.009  |
| Reflex Orders (4/6/98, 3/1/99, 1/1/02, 5/15/03, 3/1/04 & 5/1/04) .....  | GOS.LAB.010  |
| Standing Orders (4/6/98 & 3/1/99).....  | GOS.LAB.011  |
| Stat Fees and Call Backs (4/6/98).....  | GOS.LAB.012  |
| Anatomical & Surgical Pathology Services (4/6/98, 3/1/99, 1/1/01,<br>7/15/01, 3/1/04, 4/30/05, 3/6/06, 1/1/07, 3/1/08, 8/5/08 & 4/30/10)..... | GOS.LAB.015  |
| Outpatient Laboratory Test Orders (4/6/98).....   | GOS.LAB.017  |
| Advanced Beneficiary Notice (4/6/98) .....  | GOS.LAB.018  |
| Laboratory - Medical Necessity (4/6/98 & 3/1/99).....   | GOS.LAB.019  |
| Laboratory Services for Skilled Nursing Facilities (4/6/98 & 3/1/99).....   | GOS.LAB.020  |
| Laboratory Marketing Practices (4/6/98, 4/16/99, 4/1/00, 1/1/02,<br>12/15/02, 8/1/03 & 11/30/04).....   | GOS.LAB.023  |
| Critical Pathway Orders (4/6/98) .....  | GOS.LAB.024  |
| Maintenance of Company Standard Laboratory Chargemaster (10/1/01).....  | GOS.LAB.025  |
| Coding Documentation for Outpatient Services Group Entities<br>(formerly HIM.PHY.001) (3/1/06).....   | GOS.OSG.001  |
| Coding References and Tools for Outpatient Services Group Entities<br>(formerly HIM.PHY.003) (3/1/06).....                                    | GOS.OSG.003  |
| Coding and Billing Help Line for Outpatient Services Group Entities<br>(formerly HIM.PHY.004) (3/1/06).....                                   | GOS.OSG.004  |
| Coding Orientation and Training for Outpatient Services Group Entities<br>formerly HIM.PHY.005) (3/1/06).....                                 | GOS.OSG.005  |

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| Coding Continuing Education Requirements for Outpatient Services Group Entities (formerly HIM.PHY.006) (3/1/06) .....                           | GOS.OSG.006 |
| Coding: Additional Compensation Plans for Outpatient Services Group Entities (formerly HIM.PHY.008) (3/1/06) .....                              | GOS.OSG.008 |
| Prohibition of Contingency-Based Coding Arrangements for Outpatient Services Group Entities (formerly HIM.PHY.009) (3/1/06).....                | GOS.OSG.009 |
| Physician Supervision Guidelines (3/1/06) .....   | GOS.OSG.010 |
| Certified External Vendors for Coding Reviews and Related Education for Outpatient Services Group Entities (formerly HIM.PHY.011) (3/1/06)..... | GOS.OSG.011 |

### **Government Relations (Superseded)**

|   |        |
|---|--------|
| Political Contributions (9/29/98, 10/5/02, 1/1/09, 10/15/10, 6/1/11 & 5/1/19) ..... | GR.001 |
| General Statement on Government Relations (7/11/00 & 1/1/09).....                   | GR.002 |

### **Graduate Medical Education (Superseded)**

|   |         |
|---|---------|
| GME – Use of Institutional and Personal DEA Registration Numbers (7/1/17) ..... | GME.001 |
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### **Health Information Management Services (Superseded)**

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|---|-------------|
| Coding and Documentation for Inpatient Services (3/6/98, 4/16/99, 8/1/00, 4/1/01, 6/1/02, 12/15/02, 3/1/04 & 5/31/04) ..... | HIM.COD.001 |
| Coding and Documentation for Outpatient Services (3/6/98, 4/16/99, 8/1/00, 6/1/02, 12/15/02, 6/1/03 & 5/31/04) .....        | HIM.COD.002 |
| Coding References and Tools (3/6/98, 4/16/99, 8/1/00, 6/1/02, 3/1/04 & 8/1/04).....   | HIM.COD.003 |
| Coding Help Line (3/6/98, 4/16/99, 8/1/00, 6/1/02 & 3/1/04).....  | HIM.COD.004 |
| Coding Orientation and Training (3/6/98, 4/16/99, 8/1/00, 1/1/01, 6/1/02, 12/15/02, 3/1/04 & 8/1/04).....                   | HIM.COD.005 |
| Coding Continuing Education Requirements (3/6/98, 4/16/99, 8/1/00, 1/1/01, 6/1/02, 6/1/03 & 11/30/04).....                  | HIM.COD.006 |
| Reimbursement of License/Credential Examination Fee (3/6/98, 4/16/99, 8/1/00 & 6/1/02) .....                                | HIM.COD.007 |
| Coding: Additional Compensation Plans (9/2/98, 8/1/00, 6/30/02 & 3/1/04).....   | HIM.COD.008 |
| Prohibition of Contingency-Based Coding Arrangements (6/3/98, 12/15/99, 8/1/00 & 6/1/02) .....                              | HIM.COD.009 |
| Coding and Documentation for Skilled Nursing Facilities/Units (9/2/98, 8/1/00, 6/1/02, 12/15/02, 3/1/04 & 5/31/04).....     | HIM.COD.010 |
| Certified External Vendors for Coding Reviews & Related Education (4/16/99, 8/1/00, 6/1/02, 3/1/04 & 11/30/04).....         | HIM.COD.011 |
| Query Documentation for Inpatient Services (4/1/01, 6/1/02, 12/15/02, 5/31/04 & 4/30/05) .....                              | HIM.COD.012 |
| Coding Documentation for Rehabilitation Facilities/Units (6/1/02 & 12/15/02, 3/1/04 & 5/31/04).....                         | HIM.COD.013 |
| Outpatient Services and Medicare Three Day Window (4/3/98, 8/1/00, 6/1/02, 6/1/02 & 5/31/04).....                           | HIM.GEN.001 |
| Privacy Official (now HIM.PRI.002) (3/1/02) .....   | HIM.PRI.001 |

### **Health Information Management Services: Professional Services (Superseded)**

|   |             |
|---|-------------|
| Coding Documentation Policy for Company-Owned Professional Services (10/1/99 & 9/1/02)..... | HIM.PHY.001 |
| Tracking Claims Denials for Company-Owned Physician Practices (10/1/99 & 9/1/02) .....      | HIM.PHY.002 |

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| Coding References and Tools for Company-Owned Physician Practices<br>(10/1/99 & 9/1/02) .....                                | HIM.PHY.003 |
| Coding and Billing Help Line for Company-Owned Physician Practices<br>(10/1/99 & 9/1/02) .....                               | HIM.PHY.004 |
| Coding Orientation and Training for Company-Owned Physician<br>Practices and Service Centers (10/1/99, 4/1/01 & 9/1/02)..... | HIM.PHY.005 |
| Coding Cont. Ed. Requirements for Company-Owned Physician<br>Practices and Service Centers (10/1/99, 4/1/01 & 6/1/03) .....  | HIM.PHY.006 |
| Coding: Add. Compensation Plans for Co-Owned Physician Practices<br>(10/1/99 & 9/2/03) .....                                 | HIM.PHY.008 |
| Prohibition of Contingency-Based Coding Arrangements for Co-Owned<br>Professional Services (10/1/99, 12/15/99 & 9/1/02)..... | HIM.PHY.009 |
| Certified External Vendors for Coding Reviews and Related Ed.<br>for Co-Owned Professional Services (9/30/03).....           | HIM.PHY.011 |

### **Health Information Management Services: Patient Privacy (Superseded)**

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|--|-------------|
| Privacy Official (now HIM.PRI.002) (3/1/02) .....  | HIM.PRI.001 |
| Patient Privacy – Program Requirements (4/1/03, 2/1/06, 5/1/08, 9/23/09 &<br>9/23/13) .....                              | HIM.PRI.001 |
| Privacy Official (formerly HIM.PRI.001) (3/1/02, 4/14/03, 5/31/04, 3/1/08 &<br>9/23/09).....                             | HIM.PRI.002 |
| Patient Privacy – Protection (5/1/08).....   | HIM.PRI.003 |
| Patient Privacy – Right to Access (8/1/02, 4/1/03, 3/1/08, 11/1/11 & 9/23/13) .....                                      | HIM.PRI.004 |
| Patient Privacy – Right to Amend (8/1/02, 4/1/03 & 3/1/08) .....   | HIM.PRI.005 |
| Patients’ Right to Request Privacy Restrictions (4/1/03, 5/1/08, 2/17/10 &<br>9/23/13) .....                             | HIM.PRI.006 |
| Notice of Privacy (4/1/03, 4/14/03, 3/1/08, 2/17/10 & 3/1/13) .....  | HIM.PRI.007 |
| Patient Privacy – Accounting of Disclosures (4/1/03 & 3/1/08) .....  | HIM.PRI.009 |
| Authorization for Uses and Disclosures of Protected Health Information<br>(3/1/08, 11/1/12 & 9/23/13).....               | HIM.PRI.010 |
| Protected Health Information Breach Notification (9/23/09 & 10/12/09).....   | HIM.PRI.011 |
| Safeguarding Protected Health Information (11/1/11).....   | HIM.PRI.012 |
| Mitigating Inappropriate or Unauthorized Access, Use and/or Disclosure<br>of Protected Health Information (11/1/11)..... | HIM.PRI.013 |

### **Human Resources (Superseded)**

|   |           |
|---|-----------|
| Background Investigations (8/1/99, 9/1/99, 1/1/02, 12/15/02, 5/31/04, 6/1/04,<br>2/14/05, 9/1/05, 10/1/05, 7/1/06, 11/15/06, 3/1/07 & 3/1/21).....  | HR.102    |
| Background Investigations (formerly HR.102) (12/1/07, 3/1/08, 8/1/08,<br>11/1/08, 7/1/09, 11/1/09, 5/15/10, 8/1/10, 2/1/12, 5/15/12 & 12/1/14)..... | HR.OP.002 |
| Background Investigations (formerly HR.102 and HR.OP.002) (1/1/15, 8/1/15,<br>2/1/16, 9/1/17, 11/1/17, 3/1/21, & 1/1/23) .....                      | HR.ER.002 |
| Equal Employment Opportunity (formerly HR.201) (4/1/98, 11/1/09, 11/1/12,<br>& 6/1/14) .....  | HR.OP.014 |
| Equal Employment Opportunity (formerly HR.OP.014 & HR.201) (1/1/15 & 5/1/17) .....  | HR.ER.013 |
| Leaves of Absence (4/1/98, 6/30/02, 12/15/02, 3/15/03, 9/1/05 & 11/1/05) .....  | HR.203    |
| Leaves of Absence (formerly HR.203) (8/1/07, 12/1/07, 8/1/08, 4/1/09, 11/1/09,<br>5/15/10, 10/15/10, 10/16/10, 9/1/11 & 9/1/12) .....               | HR.OP.018 |
| Leaves of Absence (formerly HR.OP.018 & HR.203) (11/1/12, 5/1/15,<br>2/1/16, 5/1/17 & 1/1/18).....  | HR.TR.004 |

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| Limitations on Employment (9/15/98, 11/12/98, 3/16/99, 4/16/99, 6/30/99, 1/1/01, 4/1/01, 9/1/02, 2/1/05, 7/7/05 & 11/1/05) .....  | HR.209    |
| Limitations on Employment (formerly HR.209) (7/1/06 & 8/1/08, 11/1/08, 1/24/09, 7/1/09, 11/1/09, 2/1/11, 9/1/11, 9/2/11, 2/1/12, 8/6/12, 3/1/14, 8/1/14 & 8/2/14) ..... | HR.OP.019 |
| Limitations on Employment (formerly HR.OP.019 & HR.209) (1/1/15, 2/6/15, 8/1/15, 2/1/16, 8/1/19, 2/1/20, & 8/15/20, 9/1/22) .....                                       | HR.ER.019 |
| Performance Evaluation (1/1/15).....  | HR.LD.007 |
| Performance Management (formerly HR.305) (9/1/00 & 11/1/12) .....   | HR.OP.023 |
| Reference Inquiries (9/1/05, 10/1/05 & 2/1/06).....   | HR.205    |
| Reference Inquiry and Wage Verification (formerly Reference Inquiries) (4/1/15 & 1/22/16) .....   | HR.ER.022 |
| Sexual Harassment (formerly Respectful Workplace, HR.OP.014, HR.201) (4/1/98, 11/1/09, 11/1/12, 1/15/13, 6/1/14 & 1/1/15) .....   | HR.ER.024 |
| Reference Inquiries (formerly HR.205) (7/1/06, 3/1/08, 1/1/09, 1/1/10, 8/1/10, 10/15/10, 2/1/11, 11/1/12 & 12/1/13) .....   | HR.OP.028 |
| Substance Use in the Workplace (11/1/17, 2/1/19, 2/1/20 & 5/1/20).....  | HR.ER.060 |
| Title IX Sexual Misconduct (9/1/22).....  | HR.ER.071 |
| Leaves of Absence (formerly HR.OP.018 & HR.203) (5/1/17, 1/1/18 & 2/1/20) .....   | HR.TR.004 |

### **Information Protection (Superseded)**

|   |              |
|---|--------------|
| California Consumer Privacy Act of 2018 (CCPA) and Data Breaches (1/1/20, 8/1/20, 5/1/21, 10/1/21, 1/1/23).....   | IP.DP.CA.001 |
| Colorado - Breach of Personal Information under Colorado's Consumer Data Privacy Law and the Treatment of Personal Data under the Colorado Privacy Act (CPA) (2/1/20) ..... | IP.DP.CO.004 |
| Texas – Breach of Personal Identifying Information under the Texas Identity Theft Enforcement and Protection Act (1/1/20 & 9/1/21) .....                                    | IP.DP.TX.002 |
| Nevada – Notice Regarding Privacy of Personally Identifiable Information Collected on the Internet from Consumers Policy (10/1/19).....                                     | IP.DP.NV.003 |
| Shredding Bin Use and Protection (12/1/13 & 12/1/21) .....  | IP.GEN.001   |
| Protecting Personally-Identifiable Information (PII) (9/1/14, 5/1/15 & 1/1/18).....   | IP.GEN.002   |
| Confidentiality Statements (8/1/15 & 1/1/16) .....  | IP.GEN.003   |
| Release of Company Data to External Entities (1/2/18, 1/3/18, 9/1/19 & 12/1/21) ...   | IP.GEN.004   |
| United Kingdom Privacy Policy - UK General Data Protection Regulation (5/25/18) .....   | IP.GEN.005   |
| Information Blocking (4/1/21) .....   | IP.GEN.006   |
| Patient Privacy Program Requirements (12/1/14, 9/1/17, 4/1/21, 6/1/21 & 11/1/24, 12/23/24) ...  | IP.PRI.001   |
| Privacy Official (formerly HIM.PRI.001) (3/1/02, 4/14/03, 5/31/04, 3/1/08, 9/23/09) ...   | IP.PRI.002   |
| Minimum Necessary (formerly HIM.PRI.003) (8/1/02, 5/1/08 & 4/1/21) .....  | IP.PRI.003   |
| Patients' Right to Access (formerly HIM.PRI.004) (10/6/14, 8/1/15, 4/1/21 & 12/1/21) .....  | IP.PRI.004   |
| Patients' Right to Amend (8/1/02, 4/1/03, 3/1/0, 9/23/13) .....   | IP.PRI.005   |
| Patients' Right to Request Privacy Restrictions (4/1/03, 5/1/08, 2/17/10, 9/23/13, 10/6/14, 11/1/24) .....  | IP.PRI.006   |
| Notice of Privacy Practices (4/1/03, 4/14/03, 3/1/08, 2/17/10, 3/1/13, 7/1/25) .....  | IP.PRI.007   |



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| Patients' Right to Request Confidential Communications (4/1/03, 3/1/08).....  | IP.PRI.008 |
| Accounting of Disclosures (9/23/13 & 2/1/19).....   | IP.PRI.009 |
| Authorization for Uses and Disclosures of Protected Health Information<br>(8/1/14, 9/1/17, 4/1/21 & 11/1/24).....   | IP.PRI.010 |
| Protected Health Information Breach Risk Assessment and Notification (9/23/09, 10/12/09,<br>9/23/13, 9/23/13).....  | IP.PRI.011 |
| Mitigating Inappropriate or Unauthorized Access, Use and/or Disclosure of<br>Safeguarding Protected Health Information (11/1/11, 9/23/13).....  | IP.PRI.012 |
| Protected Health Information (11/1/11 & 9/23/13) .....  | IP.PRI.013 |
| Physical Security Management Plan Policy (2/1/19, 9/1/24).....  | IP.PS.001  |
| Workplace Violence Prevention Policy (formerly IP.PS.006 and SS.001)<br>(5/1/10, 11/1/12, 8/1/16, 9/1/18, 2/1/1, 11/1/24).....  | IP.PS.002  |
| Active Shooter Hostile Event Response (ASHER) (2/1/19) .....  | IP.PS.003  |
| Chain of Custody – Illegal Items/Substances (2/1/19) .....  | IP.PS.004  |
| Infant Security Program (10/1/20, 9/1/23, 7/1/24, 7/17/25) .....  | IP.PS.005  |
| Pediatric Security Program (10/1/20 & 9/1/23, 7/1/24).....  | IP.PS.007  |
| Search and Seizure (10/1/20, 1/1/23).....   | IP.PS.008  |
| Use of Force (4/1/21) .....   | IP.PS.009  |
| Forensic Patient Management (3/1/21) .....  | IP.PS.010  |
| Conducted Energy Device (CED) (3/1/21).....   | IP.PS.011  |
| Information Security – Program Requirements (12/1/14, 5/1/15 & 1/1/18).....   | IP.SEC.001 |
| Information Security - Electronic Communications and Digital Media (7/1/09 &<br>1/1/18).....  | IP.SEC.002 |
| PC Software License Management (see LL.IP.002) (12/1/14).....   | IP.SEC.003 |
| Information Confidentiality and Security Agreements (formerly Information<br>Security – Security Committees, IS.SEC.005) (12/1/13, 4/1/06, 1/1/18<br>& 8/1/20) .....  | IP.SEC.005 |
| Information Security Roles and Responsibilities (formerly Information<br>Security - Facility Information Security Official) (11/1/12 & 12/1/14) .....   | IP.SEC.006 |
| Information Protection Program – Security Committees (formerly Information<br>Security – Security Committees, IS.AA.002 and IS.SEC.007) (12/1/14,<br>8/1/15, 9/1/20 & 7/1/21).....                              | IP.SEC.007 |
| Information Security – Vendor Information Security Agreement (12/1/14, 1/1/18,<br>9/1/20, 8/1/21 & 9/1/23).....   | IP.SEC.008 |
| Accountability for Risks Associated with Exceptions to Information Security<br>Standards (formerly Information Security Risk Acceptance and Accountability<br>and formerly IS.SEC.009) (12/1/14 & 8/1/15) ..... | IP.SEC.009 |
| Information Security – Monitoring of User Accounts and User Activity (12/1/14) .....  | IP.SEC.021 |
| <b>Information Technology &amp; Services (Superseded)</b>   |            |
| Multi-Facility Security Committee (2/25/98).....  | IS.AA.002  |
| Release of & Access to Demographic & Clinical Patient Information (2/25<br>& 6/10/98) .....   | IS.AA.004  |
| Re-disclosure of Patient Health Information (2/25/98) .....   | IS.AA.005  |
| Confidential Patient Setting in CPCS (2/25/98 & 6/1/01) .....   | IS.AA.006  |
| Sealed Patient Setting in CPCS (2/25/98 & 6/10/98).....   | IS.AA.007  |
| PCI Menu Access (2/25/98, 6/10/98 & 6/1/01).....  | IS.AA.008  |
| Physicians and Physicians Office Staff (2/25, 6/10/98, 8/1/99 & 3/25/02).....   | IS.AA.010  |
| External Entity Access (2/25/98) .....  | IS.AA.011  |

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| CPCS Conformance and Monitoring (2/25/98, 8/1/99 & 4/14/03)  | IS.AA.014  |
| Enforcement and Discipline (2/25/98, 8/1/99 & 2/15/03)   | IS.AA.015  |
| Corporate Appropriate Access (10/1/99 & 9/1/02)  | IS.AA.025  |
| Information Security – Program Requirements (formerly Information Systems Security) (1/1/99, 8/15/01, 12/31/04, 4/30/05, 1/1/09, 1/15/10 & 5/1/11)                       |            |
| Electronic Communication (1/1/99, 8/15/01, 11/30/04, 4/30/05 & 1/1/09)   | IS.SEC.002 |
| PC Software License Management (1/1/99 & 8/15/01)  | IS.SEC.003 |
| Information Confidentiality and Security Agreements (8/15/01, 11/1/01, 1/27/04, 4/30/05, 3/1/07, 12/1/07, 10/1/10 & 9/1/11)  | IS.SEC.005 |
| Information Security Roles and Responsibilities (formerly Information Security – Facility Information Security Official) (6/1/04, 1/15/10 & 11/1/12)                     | IS.SEC.006 |
| Information Security- Security Committees (formerly Information Security - Multi-Facility Security Committee and formerly IS.AA.002) (4/21/05, 1/15/10, 5/1/11 & 3/1/14) | IS.SEC.007 |
| Information Security - Vendor Information Security Agreement (2/15/10 5/15/10, 12/1/10, 9/1/11 & 12/1/14)  | IS.SEC.008 |
| Information Security Risk Acceptance and Accountability (2/15/10)  | IS.SEC.009 |
| Information Security - Physicians and Physicians Office Staff: CPCS User Setup (formerly IS.AA.010) (4/21/05)  | IS.SEC.020 |
| Information Security – Appropriate Access Conformance and Monitoring (formerly CPCS Conformance and Monitoring and formerly IS.AA.014) (4/21/05 & 1/15/10)               | IS.SEC.021 |

## **Legal (Superseded)**

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| General Statement on Agreements with Referral Sources (2/11/98, 7/8/98, 1/1/01, 6/1/02, 6/30/02, 2/15/03, 8/1/03, 1/1/04, 4/30/05, 1/1/06, 5/1/06, 11/1/06 3/1/07, 5/1/07, 9/1/07, 6/1/08, 8/1/08, 11/1/08, 12/1/08, 2/1/12 & 9/1/22)                            | LL.001 |
| Professional Services Agreements (2/11/98, 9/2/98, 1/1/01, 6/1/02, 9/30/03, 1/30/04, 4/30/05, 1/1/06, 11/1/06, 5/1/07, 6/1/09 & 8/1/09)  | LL.002 |
| Physician Recruiting Agreements (9/29, 2/11/98, 12/1/99, 11/1/01, 1/1/02, 6/1/02, 6/30/02, 8/1/03, 1/1/04, 8/1/04, 4/30/05, 1/1/06, 11/15/06, 5/1/07, 3/1/08, 11/1/08, 2/1/11 & 2/1/12)  | LL.003 |
| Physician Equipment Real Estate Transactions; Physician Space, and/or Equipment Leases (2/11/98, 3/1/00, 1/1/01, 6/1/02, 8/1/03, 8/1/04, 4/30/05, 1/1/06, 11/15/06, 5/1/07, 3/1/08, 2/1/12, 2/28/12, 3/1/13, 5/1/14, 10/1/14, 5/20/15, 9/1/17, 5/1/18, & 6/1/18) | LL.004 |
| Physician Management Services Agreements (2/11/98, 6/15/99, 3/1/00, 1/1/01, 6/1/02, 10/15/03, 1/1/06 & 5/1/07)   | LL.005 |
| Physician Incentive Compensation (2/11/98, 6/1/02, 3/15/03, 5/31/04, 8/31/05 1/1/06, 2/1/06, 5/15/10 & 10/15/10)   | LL.006 |
| Medical Practice Asset Acquisitions (7/8/98, 9/29/98, 8/28/01, 6/1/02, 10/15/03, 4/30/05, 8/31/05, 2/1/06, 5/1/07 & 2/1/11)  | LL.007 |
| Physician Equity Management Service Organizations (2/11/98, 3/1/00, 6/1/02, 10/15/03 & 4/30/05)  | LL.008 |
| Loans and Loan Guaranties (2/11/98, 12/15/99, 6/1/02 & 8/31/05)  | LL.009 |
| Physician Education Expenses (2/11/98, 7/21/99, 3/1/00, 2/15/02, 6/1/02, 8/31/05, 1/1/06, 5/1/06, 11/1/06, 3/1/07, 12/1/07 & 9/1/11)   | LL.010 |
| Free and/or Discounted Training, Equipment (2/11/98, 3/1/00, 6/1/02, 1/1/05, 7/1/06, 11/15/06, 6/1/07, 11/1/08 & 8/1/09)   | LL.011 |

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| Physician Access to Vendor Agreements (formerly Volume Discounts) (2/11/98, 3/1/00, 6/30/02, 10/15/02, 1/1/06 & 11/15/06) .....  | LL.012     |
| Physician Referral Services (2/11/98, 9/29/98, 3/1/00, 8/1/03, 5/31/04, 8/31/05, & 5/1/07) .....   | LL.013     |
| Servicing Patients in Assisted Living Facilities (11/1/97, 2/11/98 & 1/1/06) .....   | LL.014     |
| Discharge Planning: Patient Choice for Post-Acute Providers/Services Upon Discharge (formerly LL.HH.016) (1/1/21).....   | LL.016     |
| Discharge Planning: Patient Choice for Post-Acute Providers/Services Upon Discharge (formerly Discharge Planning and Referrals of Patients to Post Discharge Providers) (3/6/98, 11/12/98, 1/1/06, 11/15/06, 3/1/07, 5/15/10, 10/1/16, 2/1/17 & 4/1/20) .....  | LL.HH.016  |
| Medical Practice Asset Divestitures (7/8/98, 9/29/98, 8/28/01, 6/1/02, 10/15/03, 8/31/05, 2/1/06 & 5/1/07).....  | LL.017     |
| Professional Discounts (12/11/98, 6/30/99, 1/1/01, 6/15/01, 3/15/02, 7/26/04, 11/30/04, 11/15/06, 3/1/07, 6/1/07, 12/1/07, 5/15/10, 9/1/12 & 5/1/14) .....   | LL.018     |
| Provider-Based Program Development and Operation (11/1/99, 12/15/03, 5/1/03, 8/1/03, 8/1/04 & 7/1/06).....   | LL.019     |
| Physician Relations Education (1/1/01, 3/15/02, 2/15/03, 8/1/03, 8/31/05, 1/1/06, 3/1/08, 1/1/09, & 3/1/13).....   | LL.020     |
| Physicians Purchasing Items or Services from the Facility (10/15/02, 11/1/05, 1/1/06, 3/1/07, 3/1/08 & 8/1/09).....  | LL.021     |
| Reimbursement of Expenses and Extending Tokens Related to Voluntary Leadership Service by Potential Referral Sources (formerly EC.009) (4/1/05, 8/31/05, 1/1/06, 5/1/06, 7/1/06, 1/1/07, 5/1/07, 12/1/07, 3/1/08, 1/1/09, 1/1/11, 1/1/12, 1/1/13, 1/1/15, 1/1/17, 1/1/18, 4/1/18, 1/15/20, 1/4/21, 1/10/22 & 1/25/23)..... | LL.022     |
| Marketing & Advertising Practices Relating to Affiliated Physicians (formerly CO.001) (3/1/06, 5/1/06, 11/15/06, 5/1/07, 3/15/08 & 6/1/09) .....   | LL.023     |
| Use of Facility-Owned Space by Physicians (5/1/06, 5/1/07 & 12/1/07) .....   | LL.024     |
| Fair Market Valuations (11/1/05, 1/1/06, 11/15/06, 3/1/08 & 6/1/09).....   | LL.025     |
| Physician Access to the Internet (formerly EC.013) (11/1/06) .....   | LL.026     |
| Physician-owned Vendor Relations (3/15/08, 6/1/08, 8/1/08, 11/1/08, 6/15/09, 8/1/10, 11/1/12 & 7/1/14).....  | LL.027     |
| Limitation on Entering into Expense Sharing Agreements (8/1/08).....   | LL.028     |
| Prohibition on Purchasing Certain Products from Physician-Owned Businesses (7/1/14) .....  | LL.029     |
| Global Anti-Corruption (2/1/12 & 3/1/15) .....   | LL.AC.001  |
| Waiver of Medicare Co-pays and Deductibles; Offering of Additional Benefits (6/1/98). .....  | LL.GEN.001 |
| Copyright (4/15/98, 11/1/00, 1/1/02, 6/30/02, 1/1/06, 6/1/07, 9/1/07, 7/1/09, 1/1/18, 9/1/19 & 9/1/22).....  | LL.GEN.002 |
| PC Software License Management (1/1/99, 8/15/01 & 2/1/19).....   | LL.IP.002  |
| Medicare Bundled Payments (11/1/16, 9/1/17, 10/1/18 & 12/1/20).....  | LL.MBP.001 |
| Medicare Bundled Payments: CJR Collaborator Selection Criteria (3/8/16 & 2/1/19) .....   | LL.MBP.002 |
| Securities Trading (1/30/04, 9/1/05, 1/1/06, 1/17/06, 3/9/11, 4/26/12, 2/1/13, 1/29/15, 7/21/16 & 1/25/19).....  | LL.SEC.001 |
| Corporate Disclosure Policy (1/27/05).....   | LL.SEC.002 |
| EMTALA Definitions and General Requirements (formerly EMTALA - Medical   |            |

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| Screening) (1/1/99, 1/1/01, 1/30/04, 8/1/04, 2/1/05, 11/15/06, 7/15/08,<br>1/15/10, 5/1/10, 5/31/12, 9/1/12, 3/1/13, 3/2/13, 2/1/16 & 9/1/19)..... | LL.EM.001 |
| EMTALA - Stabilization (1/1/99, 1/1/01, 1/30/04, 8/1/04, 11/15/04 & 2/1/05).....   | LL.EM.002 |
| EMTALA - Transfer (1/1/99, 1/1/01, 1/1/02, 1/30/04, 8/1/04, 11/15/04 & 2/1/05) .....   | LL.EM.003 |
| EMTALA - Signage (1/1/99, 1/1/01, 1/30/04, 11/15/04 & 2/1/05).....   | LL.EM.004 |
| EMTALA - Central Log (1/1/99, 1/1/01, 1/30/04, 8/1/04, 11/15/04 & 2/1/05).....   | LL.EM.005 |
| EMTALA - Duty to Accept (1/1/99, 1/1/01, 1/1/04, 8/1/04, 11/15/04 & 4/30/05) .....   | LL.EM.006 |
| EMTALA - Provision of On-Call Coverage (1/1/99, 1/1/01, 1/1/02, 1/30/04,<br>8/1/04, 11/15/04 & 2/1/05).....  | LL.EM.007 |
| EMTALA - Hospital Departments both On and Off Campus and On-Campus<br>Hospital Based Entities (1/1/01, 1/30/04 & 8/1/04) .....                     | LL.EM.008 |

### **Materials Management (Superseded)**

|   |        |
|---|--------|
| Prohibition against Contracting with Sanctioned Individuals or Companies<br>(9/29/98, 4/16/99, 6/30/99, 1/1/01, 6/30/02, 10/15/02, 11/1/03, 11/1/05<br>8/1/08, 1/24/09, 7/1/09, 11/1/09, 2/1/11, 8/6/12, 9/1/13, 12/1/13, & 8/1/19) ..... | MM.001 |
| Vendor Relations (Now EC.028) (5/1/00, 12/18/00, 8/15/00, 1/1/02, 4/15/03,<br>8/1/04, 8/1/08, 1/1/09 & 9/1/12).....   | MM.002 |
| Educational Funding from Vendors (Now EC.029) (8/1/04, 11/1/05, 1/1/09,<br>9/1/12 & 4/1/13) .....   | MM.004 |
| Research Grant Funding from Vendors (8/1/04).....   | MM.005 |

### **Quality Management (Superseded)**

|   |            |
|---|------------|
| Regulatory Compliance Notification (5/14/99, 7/21/99, 9/30/03 & 9/1/07).....  | QM.001     |
| Licensure and Certification (1/1/01, 4/1/01, 2/15/02, 8/1/02, 3/15/03, 11/1/05,<br>3/1/06, 7/1/06, 8/1/08, 1/24/09, 7/1/09 & 11/1/09) ..... | QM.002     |
| Controlled Substance Monitoring (3/1/08) .....  | QM.003     |
| Core Measure Clarification Process Documentation and Abstraction  |            |
| Education (11/1/09).....  | QM.COM.001 |
| Correction of Non-editable Core Measure Data Elements (11/1/09).....  | QM.COM.002 |
| Purging of COMET Core Measure Records (11/1/09) .....   | QM.COM.003 |
| IRB Guidance Policy (formerly QM.IRB.001) (1/1/03, 4/14/03 & 3/1/04).....   | QM.RES.001 |
| IRB Protocol – Initial and Continuing Review (formerly QM.IRB.002) (3/1/04).....  | QM.RES.002 |
| IRB Protocol – Initial and Continuing Review (formerly QM.IRB.003) (1/1/03 &<br>4/14/03).....   | QM.RES.003 |
| Development of Local Standard Operating Procedures for IRB<br>(formerly QM.IRB.004) (1/1/03).....   | QM.RES.004 |
| Adverse Event Review (formerly QM.IRB.005) (1/1/03 & 3/1/08) .....  | QM.RES.005 |
| Use of Non-Local, Cooperative and Multi-Institutional IRB (formerly<br>QM.IRB.006) (1/1/03, 4/14/03 & 6/30/05) .....                        | QM.RES.006 |
| Recruitment of Vulnerable Subject Populations (formerly QM.IRB.007)<br>(4/14/03) .....  | QM.RES.007 |
| IRB Guidance – Conflict of Interest (11/1/05) .....   | QM.RES.008 |
| Use of Investigational Products When Subjects Enter a Second Institution<br>(3/1/06) .....  | QM.RES.009 |
| Humanitarian Use Device and Humanitarian Device Exemption (11/1/05) .....   | QM.RES.010 |
| Responsible Conduct of Research Within the Facility (11/1/05) .....   | QM.RES.011 |
| Pediatric Assent (5/1/06).....  | QM.RES.012 |

## **Regulatory Compliance Support (Formerly Governmental Operations Support) (Superseded)**

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| Physician Certification and Recertification for Post-Acute Services<br>(formerly GOS.APS.001) (3/6/06, 11/1/06, 9/1/07, 1/1/08, 2/1/11, 2/1/17,<br>1/1/23, & 2/1/24) .....                 | REGS.APS.001  |
| Outpatient Rehabilitation Therapy Services Policy (formerly GOS.APS.002)<br>(3/6/06, 11/15/06, 6/1/07, 5/1/08, 1/1/09, 2/1/14, 1/1/16, 2/1/17, 1/1/18,<br>1/1/19, 1/20/20, & 6/1/22) ..... | REGS.APS.002  |
| Outpatient Services and Medicare Three Day Window (formerly<br>GOS.BILL.001) (3/6/06) .....  | REGS.BILL.001 |
| Outpatient Self-Administered Drugs (formerly GOS.BILL.003)<br>(3/6/06, 3/1/08, 8/1/09, 1/1/13 & 4/1/16) .....  | REGS.BILL.003 |
| Confirming and Processing Overpayments (formerly GOS.BILL.005)<br>(3/6/06, 3/1/07, 1/1/09, 5/15/10; Retired 1/1/17) .....  | REGS.BILL.005 |
| Stat, Call Back, Stand-by and Handling Charges<br>(formerly GOS.BILL.006) (3/6/06, 9/1/07, 5/15/10, 4/1/16 & 5/1/18) .....   | REGS.BILL.006 |
| Billing for Investigational Devices and Related Services<br>(formerly GOS.BILL.007) (3/6/06, 7/1/09, 5/15/10 & 1/1/16) .....   | REGS.BILL.007 |
| Coding Documentation for Inpatient Services (formerly HIM.COD.001)<br>(3/6/06, 6/1/07, 12/1/07, 1/24/09, 11/1/09, 5/15/12, 4/15/13, 10/1/15, 8/1/16,<br>2/1/20 & 8/1/21) .....             | REGS.COD.001  |
| Coding Documentation for Outpatient Services (formerly HIM.COD.002)<br>(3/6/06, 3/1/07, 8/1/09, 5/15/12, 9/1/13, 10/1/15, 8/1/16, 2/1/17, 2/1/19 &<br>5/1/19) .....                        | REGS.COD.002  |
| Coding References and Tools (formerly HIM.COD.003) (3/6/06, 7/1/06,<br>12/1/07, 1/1/09, 2/1/10, 5/15/12 & 3/1/13) .....  | REGS.COD.003  |
| Coding Help Line (formerly HIM.COD.004) (3/6/06, 3/1/07, 7/1/09, 2/1/10,<br>3/1/13, 4/15/13, 10/1/15 & 2/1/17) .....   | REGS.COD.004  |
| Coding Orientation and Training (formerly HIM.COD.005) (3/6/06,<br>7/1/06, 6/1/07, 8/1/09, 5/15/12, 3/1/13, 4/1/13, 10/1/15, 2/1/17) .....   | REGS.COD.005  |
| Coding Continuing Education Requirements (formerly HIM.COD.006)<br>(3/6/06, 3/1/07, 6/1/07, 1/1/09, 7/1/09, 8/1/09, 10/15/10, 9/1/11, 5/15/12<br>& 3/1/13) .....                           | REGS.COD.006  |
| HIM: Reimbursement of Professional Exam Fee to Obtain Credentials<br>(formerly HIM.COD.007) (3/6/06 & 5/15/12) .....   | REGS.COD.007  |
| Coding: Additional Compensation Plans (formerly HIM.COD.008) (3/6/06,<br>2/1/08, 1/24/09, 1/1/11, 1/1/12, 4/12/12 & 5/30/12; Retired 9/9/15,<br>Reinstated 5/1/19) .....                   | REGS.COD.008  |
| Prohibition of Contingency-Based Coding Arrangements<br>(formerly HIM.COD.009) (3/6/06, 5/15/12 & 12/1/13) .....   | REGS.COD.009  |
| Coding Documentation for Skilled Nursing Facilities/Units<br>(formerly HIM.COD.010) (3/6/06, 6/1/07, 1/1/09 & 2/1/10) .....  | REGS.COD.010  |
| Certified External Vendors for Coding Reviews and Related Education<br>(formerly HIM.COD.011) (3/6/06) .....   | REGS.COD.011  |
| Query Documentation for Inpatient Services (formerly HIM.COD.012)<br>(3/6/06 & 12/1/07) .....  | REGS.COD.012  |
| Coding Documentation for Rehabilitation Facilities/Units (formerly<br>HIM.COD.013) (3/6/06, 6/1/07, 1/1/09, 2/1/10, 5/15/12, 4/15/13,<br>10/1/14 & 10/1/15) .....                          | REGS.COD.013  |
| Preferred Contract Coding Vendors for Coding Services (formerly  |               |



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| HIM.COD.014) (3/6/06 & 1/24/09) .....  | REGS.COD.014 |
| External Coding Vendors for Coding Services, Reviews and Related<br>Education (2/1/10, 5/1/11 & 2/1/12) .....  | REGS.COD.017 |
| Inpatient and Outpatient Coding Compliance Monitoring and Auditing<br>(4/15/13, 10/1/15, 9/1/17) .....   | REGS.COD.018 |
| Documentation Improvement (DI) – Compliance Requirements<br>(7/1/08, 10/1/10, 5/1/11, 9/1/13 & 1/1/18) .....   | REGS.DOC.001 |
| Query Documentation for Clinical Documentation Improvement (CDI)<br>& Coding – Compliance Requirements (10/1/10, 4/1/11, 9/1/13, 10/1/15,<br>& 6/19/23) .....  | REGS.DOC.002 |
| CDI Orientation and Training (9/1/11, 9/1/13 & 2/1/19) .....   | REGS.DOC.003 |
| CDI Continuing Education Requirements (9/1/11 & 9/1/13) .....  | REGS.DOC.004 |
| Billing Monitoring (formerly GOS.GEN.001) (3/6/06, 9/1/07, 1/24/09 &<br>2/1/11; Retired 2/1/17) .....  | REGS.GEN.001 |
| Medicare – Medical Necessity (formerly GOS.GEN.002) (3/6/06 & 6/1/07) .....  | REGS.GEN.002 |
| Advance Beneficiary Notice (formerly GOS.GEN.003) (4/6/98, 4/1/00, 3/1/01,<br>10/1/02, 1/7/03, 8/1/03, 4/15/04, 6/15/05, 3/6/06, 7/1/06, 6/1/07, 9/1/08,<br>7/1/09, 5/15/10, 10/11/10, 11/1/11, 4/15/13, 5/1/15, 6/21/17, 5/1/19,<br>1/1/21 & 12/1/21) ..... | REGS.GEN.003 |
| Billing Orders for Hospital Outpatient Tests and Services (formerly<br>GOS.GEN.005) (6/1/07, 5/15/10, 10/1/15, 4/1/16 & 2/1/19) .....  | REGS.GEN.004 |
| Billing Continuing Education Requirements (formerly GOS.GEN.007)<br>(3/6/06, 5/1/08, 1/24/09, 2/1/17 & 2/1/24) .....   | REGS.GEN.007 |
| Hospital Evaluation and Management Services (formerly GOS.GEN.008)<br>(3/6/06, 1/1/07 & 3/1/08, 11/1/08, 4/1/09 & 1/1/18) .....  | REGS.GEN.008 |
| Outpatient Services and Medicare Three Day Window (1/1/08, 7/1/09,<br>1/15/11, 1/1/13, 8/1/14, 1/1/16, 1/1/18 & 5/1/21) .....  | REGS.GEN.009 |
| Medicare – Hospital Issued Notice of Non-Coverage (10/11/10, 4/15/13,<br>4/1/16 & 1/1/18) .....  | REGS.GEN.010 |
| Medicare – National and Local Coverage Determinations (10/11/10,<br>12/1/14, 4/1/16, 1/1/18, 5/1/19, 12/1/21 & 1/1/23) .....   | REGS.GEN.011 |
| Refunds for Medically Unnecessary Services for Federal Payers (1/1/13) .....   | REGS.GEN.012 |
| Responding to Governmental Requests for Claims Reviews or Surveys<br>(7/1/13, 6/1/14, 1/1/18, 2/1/19, 2/1/20 & 12/1/21) .....  | REGS.GEN.013 |
| Medicare Order Form for Patient Status (1/8/14, 1/1/16 & 10/1/18) .....  | REGS.GEN.014 |
| Advance Beneficiary Notice of Noncoverage - Home Health and<br>Hospice Services (7/1/21) .....   | REGS.HHA.001 |
| Responding to Governmental Requests for Home Health or Hospice<br>Agencies Claims Review or Surveys (7/1/21) .....   | REGS.HHA.002 |
| Medicare – National and Local Coverage Determinations for Home Health<br>and Hospice Services (7/1/21) .....   | REGS.HHA.004 |
| Billing - Hematology Procedures (formerly GOS.LAB.002) (3/6/06, 1/1/07,<br>5/1/08, 8/1/08, 11/1/11, 1/1/13 & 4/1/13; Retired 5/1/2017) .....   | REGS.LAB.002 |
| Billing - Urinalysis Procedures (formerly GOS.LAB.003) (3/6/06,<br>5/1/08, 6/12/08 & 5/15/10; Retired 5/1/2017) .....  | REGS.LAB.003 |
| Organ and Disease Panels (formerly GOS.LAB.004) (3/6/06, 1/1/08, 7/1/09,<br>5/15/10 & 1/1/11; Retired 5/1/2017) .....  | REGS.LAB.004 |
| Billing - Outpatient Specimen Collection (formerly GOS.LAB.006)  |              |

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| (3/6/06, 1/1/07, 1/1/08, 9/1/13, 2/1/17, 9/1/17 & 1/1/18) .....  | REGS.LAB.006 |
| Billing - Custom Profiles (formerly GOS.LAB.007) (3/6/06, 2/1/11, 10/1/15,<br>2/1/17 & 5/12/17).....   | REGS.LAB.007 |
| Referred Laboratory Testing (formerly GOS.LAB.009) (3/6/06, 5/1/08,<br>8/1/08, 5/15/10, 5/1/11, 9/1/13, 2/1/17, 1/1/18 & 9/1/19).....  | REGS.LAB.009 |
| Reflex Orders (formerly GOS.LAB.010) (3/6/06, 8/1/08, 2/1/11, 1/1/13 &<br>9/1/20) .....  | REGS.LAB.010 |
| Technical Component of Anatomical Pathology Services for Inpatients and<br>Outpatients (formerly GOS.LAB.015) (3/6/06, 1/1/07, 3/1/08, 8/5/08 &<br>1/1/2011).....  | REGS.LAB.015 |
| Laboratory Client Billing Practices (formerly GOS.LAB.023 and formerly<br>Laboratory Marketing Practices) (3/6/06, 6/1/07, 5/1/08, 7/1/09, 2/1/11,<br>10/1/15, 5/1/17 & 9/1/19).....   | REGS.LAB.023 |
| Maintenance of Company Standard Laboratory Chargemaster<br>(formerly GOS.LAB.025) (3/6/06, 1/1/08, 5/1/08, 3/7/12, 9/1/11,<br>2/1/17 & 5/1/18) .....   | REGS.LAB.025 |
| Billing – Hematology Procedures, Urinalysis Procedures, and<br>Organ or Disease – Oriented Panels (5/1/17).....  | REGS.LAB.026 |
| Coding Documentation for Outpatient Services Group Entities<br>(formerly GOS.OSG.001 and HIM.PHY.001) (3/6/06, 10/15/10, 10/1/15,<br>2/1/17 & 12/1/20).....  | REGS.OSG.001 |
| Advance Beneficiary Notice of Noncoverage for Physician Professional<br>Services and Non-Hospital Entities (10/1/11, 10/1/15, 6/21/17, 12/1/20<br>& 12/1/21) .....   | REGS.OSG.002 |
| Coding References and Tools for Outpatient Services Group Entities<br>(formerly GOS.OSG.003 and HIM.PHY.003) (3/6/06, 7/1/09, 10/15/10,<br>10/1/15 & 2/1/17).....  | REGS.OSG.003 |
| Coding and Billing Help Line for Non-Hospital Entities (formerly Coding<br>and Billing Help Line for Outpatient Services Group Entities, and formerly<br>GOS.OSG.004 and HIM.PHY.004) (3/6/06, 3/1/06, 7/1/09, 10/15/10<br>& 1/1/18) ..... | REGS.OSG.004 |
| Coding Orientation and Training for Outpatient Services Group Entities<br>(formerly GOS.OSG.005 and HIM.PHY.005) (3/6/06, 7/1/09, 10/15/10,<br>10/1/15 & 2/1/17) .....   | REGS.OSG.005 |
| Coding Continuing Education Requirements for Outpatient Services<br>Group Entities (formerly GOS.OSG.006 and HIM.PHY.006) (3/6/06,<br>1/1/09, 7/1/09, 4/1/10 & 10/1/15) .....  | REGS.OSG.006 |
| Medicare – National and Local Coverage Determinations for Physician<br>Professional Services and Non-Hospital Entities (10/1/11,<br>10/1/15 & 2/1/17).....   | REGS.OSG.007 |
| Coding: Additional Compensation Plans for Outpatient Services Group<br>Entities (formerly GOS.OSG.008 and HIM.PHY.008) (3/6/06, 3/1/08,<br>7/1/09, 10/15/10 & 1/1/16).....   | REGS.OSG.008 |
| Prohibition of Contingency-Based Coding Arrangements for Outpatient<br>Services Group Entities (formerly GOS.OSG.009 and<br>HIM.PHY.009) (3/6/06, 7/1/09 & 10/15/10).....  | REGS.OSG.009 |
| Physician Supervision Guidelines (formerly GOS.OSG.010) (3/6/06,<br>7/1/09, 10/15/10 & 1/1/16).....  | REGS.OSG.010 |
| Certified External Vendors for Coding Reviews and Related Education<br>for Outpatient Services Group Entities (formerly GOS.OSG.011 and  |              |

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| HIM.PHY.011) (3/6/06, 7/1/09, 10/15/10, 5/1/11, 2/1/12, 10/1/15 & 2/1/17)...   | REGS.OSG.011      |
| Responding to Governmental Requests for Non-Hospital Entities Claims   |                   |
| Reviews or Surveys (1/1/22) .....  | REGS.OSG.012      |
| Billing Guidelines for Orders for Outpatient Tests and Services for<br>Outpatient Services Group Entities (11/1/06, 7/1/09, 10/15/10<br>& 10/1/15) ..... |                   |
|  | REGS.OSG.BILL.001 |
| Provider Coding/Billing Continuing Education Requirements for<br>Professional Services (5/1/08, 1/1/09, 1/1/11, 1/1/18 & 3/1/22) .....                   |                   |
|  | REGS.PROF.006     |

### **Reimbursement (Superseded)**

|   |        |
|---|--------|
| Reimbursement Manual (4/22/98, 9/30/99, 7/1/06, 1/24/09 & 5/15/10).....   | RB.001 |
| Standardized Workpaper Package with Instructions (4/22/98, 7/1/06 & 9/1/18).....  | RB.002 |
| Review of Cost Report (4/22/98, 9/30/99, 7/1/06 & 9/1/18) .....   | RB.003 |
| Identification of Non-Allowable Costs (4/22/98, 7/1/06 & 1/24/09) .....   | RB.004 |
| Adequate Documentation (4/22/98, 9/30/99 & 7/1/16).....   | RB.005 |
| Protested Items (4/22/98, 7/1/06 & 1/24/09).....  | RB.006 |
| Submission of the Medicare Cost Report (4/22/98, 7/1/06 & 9/1/18) .....   | RB.007 |
| Disclosure Procedure (4/22/98, 12/15/99, 7/1/06 & 1/24/09) .....  | RB.008 |
| Reporting of Cost Report Overpayments (formerly Error in Reporting)<br>(4/22/99, 12/15/99, 6/19/01, 7/1/06, 1/24/09, 5/15/10 & 11/1/16).....            | RB.009 |
| Fiscal Intermediary Audits (4/22/98, 7/1/06, 1/24/09 & 9/1/18) .....  | RB.010 |
| Subsequent Monitoring-Department Self Review/Peer Review (4/22/98,<br>7/1/06 & 9/1/18) .....  | RB.011 |
| Use of and Compensation Arrangements with External Consultants (4/22/98,<br>6/19/01, 7/1/06, 1/24/09 & 5/15/10) .....                                   | RB.013 |
| Education and Training (4/22/98, 6/19/01, 7/1/06, 1/24/09 & 5/15/10).....   | RB.014 |
| Requirements for Providers/Suppliers to Establish and Maintain the Medicare<br>Enrollment Application (CMS 855) (9/1/09, 5/15/10, 5/1/14 & 9/1/17)..... | RB.016 |

### **Risk and Insurance (Superseded)**

|  |        |
|--|--------|
| EMTALA - Medical Screening (1/1/99) .....                      | RI.001 |
| EMTALA - Stabilization (1/1/99) .....                          | RI.002 |
| EMTALA - Transfer (1/1/99 & 1/1/01).....                       | RI.003 |
| EMTALA - Signage (1/1/99) .....                                | RI.004 |
| EMTALA - Central Log (1/1/99).....                             | RI.005 |
| EMTALA - Duty to Accept (1/1/99) .....                         | RI.006 |
| EMTALA - Provision of On-Call Coverage (1/1/99 & 1/1/01) ..... | RI.007 |

### **Safety and Security (Superseded)**

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| Theft and Violence in the Workplace (5/1/10 & 11/1/12) ..... | SS.001 |
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### **Treasury (Superseded)**

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|---|---------|
| Medical Staff Funds (8/1/00, 6/1/01 & 2/1/12) ..... | TRE.001 |
| Non-Facility Owned Funds (2/1/12) .....             | TRE.002 |

### **Retired Policies**

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|--|-------------|
| Institutional Animal Care and Use Committees (formerly CSG.IAC.001)<br>(2/1/17; Retired 5/24/22) ..... | COG.IAC.001 |
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| COVID-19 Vaccination Requirements for Employees and Staff of HCA CMS<br>. Mandate Facilities (11/22/21, 1/25/23; Retired 8/4/2023) ..... | COG.RAS.005 |
| IRB Guidance Policy (formerly QM.IRB.001 & QM.RES.001) (3/1/12) .....  | CSG.RES.001 |
| IRB Protocol - Initial and Continuing Review (formerly QM.IRB.002 &<br>QM.RES.002) (3/1/12) .....  | CSG.RES.002 |
| Informed Consent IRB Review (formerly QM.IRB.003 & QM.RES.003)<br>(3/1/12) .....   | CSG.RES.003 |
| Development of Local Standard Operating Procedures for IRB (formerly<br>QM.IRB.004 & QM.RES.004) (3/1/12).....                           | CSG.RES.004 |
| Adverse Event and Unanticipated Problems in Research Review (formerly<br>QM.IRB.005 & QM.RES.005) (3/1/12).....                          | CSG.RES.005 |
| Use of Non-Local, Cooperative and Multi-Institutional IRBs (formerly<br>QM.IRB.006 & QM.RES.006) (3/1/12).....                           | CSG.RES.006 |
| Recruitment of Vulnerable Subject Populations (formerly QM.IRB.007 &<br>QM.RES.007) (3/1/12) .....                                       | CSG.RES.007 |
| Handling Conflicts of Interest in Research (formerly QM.RES.008) (3/1/12).....   | CSG.RES.008 |
| Use of Investigational Products When Subjects Enter a Second<br>Institution (formerly QM.RES.009) (3/1/12).....                          | CSG.RES.009 |
| Humanitarian Use Device and Humanitarian Device Exemption<br>(formerly QM.RES.010) (3/1/12).....   | CSG.RES.010 |
| Responsible Conduct of Research within the Facility<br>(formerly QM.RES.011) (3/1/12).....   | CSG.RES.011 |
| Pediatric Research (formerly QM.RES.012) (3/1/12).....   | CSG.RES.012 |
| IRB Registration (formerly QM.RES.013) (3/1/12).....   | CSG.RES.013 |
| Self-Reporting of Violations of Certain Laws and Regulations (5/17/11).....  | EC.003      |
| Reimbursement of Expenses Related to Voluntary Leadership Service by<br>Physicians (2/3/05; Replaced by LL.022) .....                    | EC.009      |
| Correction of Errors Related to Fed. Health Care Program Reimbursement<br>(1/24/09) .....  | EC.012      |
| Physician Access to the Internet (11/15/06; Replaced by LL.026).....   | EC.013      |
| Notification Regarding Certain Investigations or Legal Proceedings (1/24/09) .....   | EC.017      |
| ECO Quarterly Reports (2/1/09) .....   | EC.018      |
| CIA Training for Senior Management (12/31/08).....   | EC.019      |
| Reportable Events (1/24/09) .....  | EC.020      |
| Environmental - Polychlorinated Biphenyls (PCBs) Handling<br>(formerly<br>) (5/1/07) .....   | ENV.002     |
| Environmental - Air Pollution Emission and Control (formerly DC.004) (5/1/07) .....  | ENV.004     |
| Environmental - Asbestos Containing Material (ACM) Management<br>(formerly DC.005) (5/1/07) .....  | ENV.005     |
| Environmental - Emergency Response (formerly DC.007) (5/1/07).....   | ENV.007     |
| Environmental - Biomedical Waste Management (formerly DC.008) (5/1/07).....  | ENV.008     |
| Environmental - Low-Level Radioactive Waste Management (formerly DC.009)<br>(5/1/07) .....   | ENV.009     |
| Environmental - Hazardous Waste Management (formerly DC.010) (5/1/07) .....  | ENV.010     |
| Environmental - Fuel Storage Tank Management (formerly DC.011) (5/1/07) .....  | ENV.011     |
| Environmental - Waste Oil Management (formerly DC.012) (5/1/07).....   | ENV.012     |
| Environmental - Wastewater Discharge (formerly DC.013) (5/1/07) .....  | ENV.013     |

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| Environmental - Potable (Drinking) Water Supply (formerly DC.014) (5/1/07) .....   | ENV.014     |
| Environmental - Infection Control Risk Assessment (formerly DC.015) (5/1/07) ..... | ENV.015     |
| Environmental - Universal Waste Management (formerly DC.016) (5/1/07) .....        | ENV.016     |
| Environmental - Lead Management (formerly DC.017) (5/1/07) .....                   | ENV.017     |
| Environmental - Self-audit of Facilities (formerly DC.018) (5/1/07) .....          | ENV.018     |
| Energy Efficiency Policy (9/1/13; Retired 5/24/22).....                            | ENV.019     |
| Equal Employment Opportunity (formerly HR.OP.014 & HR.201)                         |             |
| (7/1/21; Retired 12/31/21) .....   | HR.ER.013   |
| Sexual Harassment (formerly HR.OP.014) (11/1/16; Retired 12/31/21) .....           | HR.ER.024   |
| Calculated Laboratory Tests (8/28/01) .....  | GOS.LAB.001 |
| Handling Charges (8/28/01) .....   | GOS.LAB.005 |
| Unlisted Laboratory Procedure Codes (8/28/01) .....                                | GOS.LAB.013 |
| Laboratory Patient Records (6/19/01) .....   | GOS.LAB.016 |
| Standing Orders (formerly BOS.LAB.011) (8/28/01).....                              | GOS.GEN.005 |
| Critical Pathway Order Sets (formerly BOS.LAB.024) (8/28/01) .....                 | GOS.GEN.006 |
| Tracking Claims Denials for Company-Owned Physician Practices (12/8/05) .....      | HIM.PHY.002 |
| CPCS Appropriate Access (12/7/04).....   | IS.AA.001   |
| Facility Security Committee (12/7/04).....   | IS.AA.003   |
| Release of and Access to Demographic and Clinical Patient Information              |             |
| (12/16/03) .....   | IS.AA.004   |
| Re-disclosure of Patient Health Information (2/18/03) .....                        | IS.AA.005   |
| Confidential Patient Setting in CPCS (12/7/04) .....                               | IS.AA.006   |
| Sealed Patient Setting in CPCS (12/7/04).....                                      | IS.AA.007   |
| PCI Menu Access (12/7/04) .....  | IS.AA.008   |
| Restrict by Location (12/7/04) .....   | IS.AA.009   |
| External Entity Access (12/7/04) .....   | IS.AA.011   |
| Employee Health Records (5/8/01) .....   | IS.AA.012   |
| Information Security Agreement (11/13/01).....                                     | IS.AA.013   |
| Enforcement and Discipline (12/7/04) .....   | IS.AA.015   |
| Corporate Appropriate Access (12/7/04).....  | IS.AA.025   |
| Release of and Access to Case-Mix and Derivative Data (6/22/04).....               | IS.SEC.004  |
| Information Security - Physicians and Physicians Office Staff: CPCS                |             |
| User Setup (formerly IS.AA.010) (4/21/05; Retired 10/3/17).....                    | IP.SEC.020  |
| Information Security – Data Protection on Portable Devices and Removable           |             |
| Media (11/1/11).....   | IS.SEC.022  |
| Home Health Community Education Record Keeping/Reimbursement                       |             |
| Guidelines (2/11/98; Retired 6/30/21).....   | LL.015      |
| EMTALA - Stabilization (7/15/08) .....   | LL.EM.002   |
| EMTALA - Transfer (7/15/08) .....  | LL.EM.003   |
| EMTALA - Signage (7/15/08) .....   | LL.EM.004   |
| EMTALA - Central Log (7/15/08).....  | LL.EM.005   |
| EMTALA - Duty to Accept (7/15/08) .....  | LL.EM.006   |
| EMTALA - Provision of On-Call Coverage (7/15/08) .....                             | LL.EM.007   |
| EMTALA - Hospital Based Departments both On and Off Campus (12/7/04) .....         | LL.EM.008   |
| HealthTrust Purchasing Group Personnel Conflict of Interest (9/1/02;               |             |



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| Retired 2/5/13) .....  | MM.003        |
| Research Grant Funding from Vendors (1/1/09; Retired 5/18/21) .....  | MM.005        |
| Outpatient Services and Medicare Three Day Window (formerly<br>GOS.BILL.001) (9/27/07) .....   | REGS.BILL.001 |
| Collection of Financial Information under EMTALA (formerly Collection of<br>Information in the Emergency Department, (formerly GOS.BILL.002)<br>(3/6/06; Retired 9/9/15) ..... | REGS.BILL.002 |
| Confirming and Processing Overpayments (formerly GOS.BILL.005)<br>(5/15/10; Retired 1/1/2017) .....  | REGS.BILL.005 |
| Coding: Additional Compensation Plans (formerly HIM.COD.008)<br>(4/15/13; Retired 9/9/15, Reinstated 5/1/19) .....   | REGS.COD.008  |
| Coding Documentation for Skilled Nursing Facilities/Units (formerly<br>HIM.COD.010) (Retired 2/29/12) .....  | REGS.COD.010  |
| Certified External Vendors for Coding Reviews and Related Education<br>(formerly HIM.COD.011) (11/3/09; Replaced by REG.COD.017) .....   | REGS.COD.011  |
| Query Documentation for Inpatient Services (formerly HIM.COD.012)<br>(dated 8/1/09; Retired 10/1/10) .....   | REGS.COD.012  |
| Preferred Contract Coding Vendors for Coding Services (formerly<br>HIM.COD.014) (11/3/09; Replaced by REGS.COD.017) .....  | REGS.COD.014  |
| Outpatient Services and Medicare Three Day Window (formerly<br>HIM.GEN.001) (9/27/07) .....  | REGS.COD.015  |
| Inpatient Coding Quality Monitoring and Benchmark Analysis<br>(7/1/07; Retired 4/15/13) .....  | REGS.COD.016  |
| Billing Monitoring (formerly GOS.GEN.001) (2/1/11; Retired 2/1/17) .....   | REGS.GEN.001  |
| Medicare – Medical Necessity (formerly GOS.GEN.002) (7/1/09;<br>Retired 10/11/10) .....  | REGS.GEN.002  |
| Hospital Evaluation and Management Services (formerly GOS.GEN.008)<br>(4/1/09) .....   | REGS.GEN.008  |
| Correction of Errors Related to Federal and State Healthcare Program<br>FFS for Home Health and Hospice Agencies Reimbursement (7/1/21; Retired<br>1/1/25) .....               | REGS.HHA.003  |
| Billing - Hematology Procedures (formerly GOS.LAB.002) (4/1/13;<br>Retired 5/1/17) .....   | REGS.LAB.002  |
| Billing - Urinalysis Procedures (formerly GOS.LAB.003) (5/15/10;<br>Retired 5/1/17) .....  | REGS.LAB.003  |
| Organ and Disease Panels (formerly GOS.LAB.004) (1/1/11;<br>Retired 5/1/17) .....  | REGS.LAB.004  |
| Technical Component of Anatomical Pathology Services for Inpatients and<br>Outpatients (formerly GOS.LAB.015) (dated 3/7/12; Retired 8/21/12) .....                            | REGS.LAB.015  |
| Laboratory Services for Skilled Nursing Facilities (formerly GOS.LAB.020)<br>(9/13/06) .....   | REGS.LAB.020  |
| Monitoring-External and Independent Departmental Review (12/7/99) .....  | RB.012        |
| Reserve Cost Reports (5/23/06) .....   | RB.015        |